



**O'Domhnaill Enterprises, Inc.**

**Does Business As**

**O'Donnell Wealth Management**

**627 Yellowstone Avenue**

**Cody, WY 82414**

**[www.odonnellwm.com](http://www.odonnellwm.com)**

**October 23, 2023**

**2B Brochure Supplement**

**Stephen Patrick O'Donnell, Jr.**

This brochure supplement provides information about Stephen O'Donnell, Jr. that supplements our brochure. You should have received a copy of that brochure. Please contact Stephen O'Donnell, Jr. if you did not receive O'Donnell Wealth Management ("OWM") brochure or if you have any questions about the contents of this supplement. Additional information about Stephen O'Donnell, Jr. is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #323384.

## ITEM 2 – EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

**Stephen Patrick O'Donnell, Jr.**

**Year of Birth:** 1988

**Educational Background:**

**Business Background:**

- 3/2023 to Present O'Domhnaill Enterprises Inc. (DBA: O'Donnell Wealth Management), *Chief Compliance Officer, Financial Adviser*
- 12/2022 to Present LPL Financial, LLC *Registered Representative*
- 01/2022 to Present Teton County Sheriff's Office, *Detention Officer*
- 11/2019 to Present Town of Jackson Police Department, *Police Officer*
- 11/2016 to 10/2021 Wyoming Army National Guard, *Staff Sergeant (E6)*
- 02/2017 to 11/2019 City of Cody Police Department, *Police Officer*
- 03/2013 to 03/2017 City of Port Jervis Police Department, *Police Officer*

**Exams, Licenses & Other Professional Designations:**

- 03/2023 Series 7 General Securities Representative Examination
- 02/2023 Series 66 Uniform Combined State Law Examination
- 10/2023 Certified Estate Planner® National Institute of Certified Estate Planners

## ITEM 3 – DISCIPLINARY INFORMATION

Stephen O'Donnell, Jr. has no history of any legal or disciplinary events that deem to be material to a client's consideration of Stephen O'Donnell, Jr. to act as their investment adviser representative. He has not been subject to any criminal actions, revocations, or suspensions. FINRA's BrokerCheck® is a resource available to review the disciplinary history of Stephen O'Donnell. <https://brokercheck.finra.org/>

## ITEM 4 – OTHER BUSINESS ACTIVITIES

Stephen O'Donnell, Jr. is a Registered Representative of LPL Financial, LLC ("LPL") a securities broker/dealer, and a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and an investment adviser registered with the U.S Securities and Exchange Commission ("SEC").

As a broker-dealer, LPL engages in a broad range of activities normally associated with securities brokerage firms. Pursuant to the investment advice given by Stephen O'Donnell, investments in securities may be recommended for you. If LPL is selected as the broker-dealer, it will affect transactions in securities for you, a client of OWM and Stephen O'Donnell, Jr. By serving as the broker-dealer, LPL and Stephen O'Donnell, Jr. will receive commissions for executing securities transactions.

You are advised that if LPL is selected as the broker-dealer, the transaction charges may be higher or lower than the charges you may pay if the transactions were executed at other broker-dealers. You should note, however, that clients always have the right to decide whether to purchase securities through Stephen O'Donnell, Jr., OWM or LPL.

Stephen O'Donnell, Jr. may provide advice regarding investment company securities and mutual funds. You should be aware that, in addition to the advisory fees paid by you, each investment company also

charges its own separate investment advisory fees and other expenses (internal management fees). In addition, you should be aware that mutual funds may be purchased separately independent of the investment management services of OWM.

Stephen O'Donnell, Jr. in his capacity as a registered representative of LPL or as an agent appointed with various life, disability or other insurance companies, receives commissions, 12(b) -1 fees, trails, or other compensation from the respective product sponsors and/or as a result of effecting securities transactions for you. However, you should note that clients have the right to decide whether to act on the recommendation to purchase any investment products through Stephen O'Donnell. He is able to offer a variety of advisory programs and services through LPL in addition to the advisory services he is able to offer through OWM.

#### **ITEM 5 – ADDITIONAL COMPENSATION**

Stephen O'Donnell, Jr. does not receive any economic benefit for providing advisory services beyond the scope of O'Donnell Wealth Management and business activities listed in Item 4.

#### **ITEM 6 – SUPERVISION**

Stephen O'Donnell, Jr. is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Stephen O'Donnell Jr., who is responsible for administering the policies and procedures. As Chief Compliance Officer, Stephen O'Donnell Jr. reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Stephen O'Donnell Jr. may be reached at 307-586-4279.

#### **ITEM 7: REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

Stephen O'Donnell, Jr. has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, nor administrative proceeding. Stephen O'Donnell was the subject of bankruptcy petitions in 2019.